

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.  
-----x

:  
: Chapter 11 Case No.  
:

: 08-13555 (JMP)  
: (Jointly Administered)  
:

:  
: Ref. Docket Nos. 36995, 36997,  
37575, 37576, 37610-37613, 37652,  
37658-37662

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 3, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
5<sup>th</sup> day of June, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BANK LEUMI USA  
ATTN: DONALD BITTKER  
562 FIFTH AVENUE  
NEW YORK NY 10036

Please note that your claim # 59840-04 in the above referenced case and in the amount of  
\$4,000,000.00 allowed at \$4,074,166.67 has been transferred (unless previously expunged by court order)

J.P. MORGAN SECURITIES LLC  
TRANSFEROR: BANK LEUMI USA  
C/O J.P. MORGAN SECURITIES  
ATTN: JEFFREY L. PANZO  
383 MADISON AVENUE, FLOOR 37  
NEW YORK NY 10179

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 37652 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/03/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 3, 2013.

**EXHIBIT B**

TIME: 15:39:02  
DATE: 06/03/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name  | Address  |
|---|--|
| AIA WEALTH MANAGEMENT COMPANY LIMITED               | TRANSFEROR: FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS 11/F, AIA FINANCIAL CENTRE ATTN: MANOJ RAMACHANDRAN<br>712 PRINCE EDWARD ROAD EAST. KOWLOON HONG KONG                                  |
| AXA IM DEUTSCHLAND GMBH FOR THE ACCOUNT OF          | AXA INVESTMENT MANAGERS PARIS ATTN: STEPHANIE BROUSSARD/CHARLOTTE BRETTE LEGAL DEPARTMENT COEUR DEFENSE TOUR B LA DEFENSE 4<br>100 ESPLANADE DU GENERAL DE GAULLE PARIS LA DEFENSE, CEDEX 92932 FRANCE |
| AXA IM DEUTSCHLAND GMBH FOR THE ACCOUNT OF          | WIN-FONDS ATTN: LEGAL DEPARTMENT INNERE KANALSTRASSE 95 KOLN 50823 GERMANY   |
| BANK LEUMI USA                                      | ATTN: DONALD BITTKER 562 FIFTH AVENUE NEW YORK NY 10036  |
| DE CLERCO, FRANCOISE                                | DEMOR INTERNATIONAL S.C.R.L. ED. VAN NIEUWENHUYSE LAAN 6 BT. 8 BRUSSELS 1160 BELGIUM   |
| DE CLERCO, FRANCOISE                                | RUE VANDERCAMMEN 22 BRUXELLES 1160 BELGIUM   |
| DEMOR INTERNATIONAL SCRL                            | TRANSFEROR: DE CLERCO, FRANCOISE AVENUE EDMOND VAN NIEUWENHUYSE 6 B 8 RPM 0452511928 BRUXELLES BRUSSELS B1160 BELGIUM  |
| DEUTSCHE BANK AG, LONDON BRANCH                     | TRANSFEROR: AXA IM DEUTSCHLAND GMBH FOR THE ACCOUNT OF ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET<br>LONDON EC2N2DB UNITED KINGDOM   |
| DEUTSCHE BANK AG, LONDON BRANCH                     | TRANSFEROR: SPCP GROUP, LLC ATTN: RICH VICHADITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005   |
| FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS | SCHELLENBERG WITTMER ATTENTION: PHILIPPE BORENS, ESQ., AND BENNO STRUB, ESQ. LOWENSTRASSE 19 P.O. BOX 1876 ZURICH 8021 SWITZERLAND   |
| FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS | PELIKANSTRASSE 37 PO BOX 1376 ZURICH 8021 SWITZERLAND  |
| GOLDMAN SACHS & CO.                                 | TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302   |
| GOLDMAN SACHS & CO.                                 | TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302   |
| GOLDMAN SACHS & CO.                                 | TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  |
| J.P. MORGAN SECURITIES LLC                          | TRANSFEROR: BANK LEUMI USA C/O J.P. MORGAN SECURITIES ATTN: JEFFREY L. PANZO 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179  |
| QPTF LLC  | TRANSFEROR: QUANTUM PARTNERS LP C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH-COMPLIANCE DEPT 888 SEVENTH AVENUE<br>NEW YORK NY 10106   |
| QUANTUM PARTNERS LP                                 | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC. C/O SOROS FUND MANAGEMENT LLC 888 SEVENTH AVE NEW YORK NY 10106  |
| QUANTUM PARTNERS LP                                 | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. C/O SOROS FUND MANAGEMENT LLC 888 SEVENTH AVE NEW YORK NY 10106  |
| QUANTUM PARTNERS LP                                 | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH - COMPLIANCE DEPT<br>888 SEVENTH AVENUE, 32ND FLOOR NEW YORK NY 10106                              |
| QUANTUM PARTNERS LP                                 | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH - COMPLIANCE DEPT.<br>888 SEVENTH AVENUE, 32ND FLOOR NEW YORK NY 10106                             |
| SERENGETI LYCAON MM L.P.                            | TRANSFEROR: GOLDMAN SACHS & CO. SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR<br>NEW YORK NY 10012  |
| SERENGETI LYCAON MM L.P.                            | TRANSFEROR: GOLDMAN SACHS & CO. SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN; ERIN FINEGAN 632 BROADWAY, 12TH FLOOR<br>NEW YORK NY 10012  |
| SILVER POINT CAPITAL FUND, LP                       | ERIC RUIZ DAVIS POLK & WARDWELL LLP 450 LEXINGTON AVENUE NEW YORK NY 10017   |
| SILVER POINT CAPITAL FUND, LP                       | TRANSFEROR: GOLDMAN SACHS & CO. ATTN: DAVID F. STEINMETZ C/O SILVER POINT CAPITAL, L.P. GREENWICH CT 06830   |
| SILVER POINT CAPITAL OFFSHORE MASTER FUND, LP       | ERIC RUIZ DAVIS POLK & WARDWELL LLP 450 LEXINGTON AVENUE NEW YORK NY 10017-3904  |
| SILVER POINT CAPITAL OFFSHORE MASTER FUND, LP       | TRANSFEROR: GOLDMAN SACHS & CO. C/O SILVER POINT CAPITAL, L.P. ATTN: DAVID F. STEINMETZ 2 GREENWICH PLAZA, FIRST FLOOR<br>GREENWICH CT 06830   |
| SPCP GROUP, LLC                                     | PYOR CASHMAN LLP ATTN: RONALD S. BEACHER, ESQ. 7 TIMES SQUARE NEW YORK NY 10036  |
| SPCP GROUP, LLC                                     | TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: BRIAN A. JARMAIN 2 GREENWICH PLAZA, 1ST FLOOR GREENWICH CT 06830  |

Total Number of Records Printed

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